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References to Materials on the SEC Website, [www.sec.gov](http://www.sec.gov)  
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1. **Examination Priorities for 2013**,  
<http://www.sec.gov/about/offices/ocie/national-examination-program-priorities-2013.pdf>.
2. NEP is launching an initiative to conduct focused, risk-based examinations of investment advisers to private funds that recently registered with the Commission ("Presence Exams"). The Presence Exams initiative will take place over the next two years and it has three primary phases: engagement; examination; and reporting. <http://www.sec.gov/about/offices/ocie/letter-presence-exams.pdf>.
3. Office of Compliance Inspections and Examinations: Public Alerts, Reports, and Letter, [http://www.sec.gov/about/offices/ocie/ocie\\_guidance.shtml](http://www.sec.gov/about/offices/ocie/ocie_guidance.shtml).
4. Annual Report on the Dodd-Frank Whistleblower Program, Fiscal year 2012, <http://www.sec.gov/about/offices/owb/annual-report-2012.pdf>.
5. Asset Management Unit, Bruce Karpati, Enforcement Priorities in the Alternative Space, December 18, 2012,  
<http://www.sec.gov/news/speech/2012/spch121812bk.htm>.
6. Asset Management Unit, Bruce Karpati, Private Equity Enforcement Concerns, January 23, 2013,  
<http://www.sec.gov/news/speech/2013/spch012313bk.htm>.
7. Final Rule: Compliance Programs of Investment Companies and Investment Advisers, <http://www.sec.gov/rules/final/ia-2204.htm>, and Federal Register PDF, <http://www.sec.gov/rules/final/ia-2204.pdf>.