

## 2017 FIRMA Conference Agenda

Session Title	Start Time	Speaker Name(s)
<b>05/21/17 - SUNDAY</b>		
Workshop I: Fiduciary Risk Boot Camp	1:00PM	Donald F. Moore
Workshop II: Aligning Risk Assessments & Testing Among the Three Lines of Defense	1:00PM	Deborah A. Austin   Christine Gedrock   Carol Severyn
<b>05/22/17 - MONDAY</b>		
Keynote: Litigation Risk and Emerging Law Issues	8:15AM	Eugene F. Maloney
A Look Ahead for the U.S. and Global Economy	9:30AM	Jeff Korzenik
Strategic Advantages of a Strong Culture	10:45AM	James D. Weddle
DOL Fiduciary Standard - Implementation Checklist	1:00PM	Bradford Campbell
Trust Executive Focus - Profitability Perspectives: Planning Pointers and Prospective Pitfalls	1:00PM	Howard Safer
T+2 Settlements	1:00PM	Satish G. Pattegar
Investment Performance Reporting	1:00PM	William T. Norris
Regulatory Panel	2:15PM	Beverly Britt   Tish Dalton   Michael Orange   Rebecca Zak
Trust Executive Focus - Understanding Succession Development	2:15PM	Geoffery A. Madsen
Elder Financial Fraud Prosecution	2:15PM	Brandon Jackson
Global Auction Trends and Their Effect on Tangible Assets	2:15PM	Reid Dunavant   Kevin Zavian
Regulatory Panel (REPEAT)	3:30PM	Beverly Britt   Tish Dalton   Michael Orange   Rebecca Zak
Digital Investment Advice - Inside the Black Box	3:30PM	Sharon L. Corbett
Cyber Fraud has Evolved to Omni Channel	3:30PM	Ian Mitchell
Portfolio Management - Current Issues for Compliance and Risk Professionals	3:30PM	Thomas G. Kennedy
Roundtable: Community Institutions	4:45PM	Bruce Goldberg   Gary Pelcak
Roundtable: Regional Institutions	4:45PM	Casey Jeanneret   Deanna Rankin
Roundtable: Large Institutions	4:45PM	Jennifer De Vries   David Jonke
Roundtable: Regulators	4:45PM	Scott Kelly
<b>05/23/17 - TUESDAY</b>		
Keynote: Embracing Risk as a Financial Priority	8:00AM	Phil McHugh
ABA Trust Legislative Update	9:15AM	Cecelia Calaby
Conducting Interviews in the Twenty-first Century	10:30AM	Don Rabon
Fiduciary Litigation	11:45AM	McNeil Chestnut
Focus Session: Agile Risk Management - Aligning Risk Solutions to Enable Business Strategies	2:30PM	Dolores Atallo

## 2017 FIRMA Conference Agenda

Session Title	Start Time	Speaker Name(s)
<b>05/24/17 - WEDNESDAY</b>		
Keynote: Governance, Board Responsibilities, and Making the Most of Internal Audit	8:00AM	Alan R. Crain, Jr.
ERISA Update - Considerations for Providers and Their Clients	9:15AM	David Kaleda
Discretionary Decisions	9:15AM	James M. Marion
Three Lines of Defense - What Are the Results?	9:15AM	Grant Harbrecht
Common Internal Audit Themes for Community Bank Wealth Management Departments	9:15AM	Machelle L. Rinko
ERISA Update - Considerations for Providers and Their Clients (REPEAT)	10:30AM	David Kaleda
Special Needs Trusts - Risks and Compliance	10:30AM	Frances Fata
Testers Testing Testers	10:30AM	Susan Vogel-Vanderson
Fraud in Wealth Management from Ponzi to Madoff to Beyond	10:30AM	Craig L. Greene
What Happens to the QPAM Exemptions When Your Institution is a Convicted Felon?	1:15PM	Candace L. Quinn
Mitigating Risks in Estate Settlement and Administration	1:15PM	Lisa M. Garcia
Elevating Risk Self-Assessments to the Next Level	1:15PM	Raissa Horstmeier
Risk Appetite in Community Banks - Staying Between the Guardrails	1:15PM	David G. Bilko
The Ins and Outs of Auditing a 401(k) Portfolio	2:30PM	Julia L. Kirby   Joshua Uhl
The Future of Advising our Clients	2:30PM	J. Phil Buchanan
Vendor Management at the Account Level	2:30PM	Robert Dray
Fiduciary Access to Digital Assets	2:30PM	Suzanne Brown Walsh
Top Risk Issues Facing Corporate Trust in Today's "Heightened Standards" World	3:45PM	David Ursa
Conflicts of Interest - What Should be Keeping You Up at Night	3:45PM	Stevan Savich
Vendor Management at the Account Level (REPEAT)	3:45PM	Robert Dray
The Future of Advising our Clients (REPEAT)	3:45PM	J. Phil Buchanan
<b>05/25/17 - THURSDAY</b>		
Keynote: The Investigation of the Wolf of Wall Street	8:00AM	Gregory Coleman
The Changing Face of AML Compliance	9:15AM	Harold Crawford
Conference Highlights and Key Takeaways: Community Institutions	10:30AM	Bruce Goldberg   Gary Pelcak
Conference Highlights and Key Takeaways: Regional Institutions	10:30AM	Casey Jeanneret   Deanna Rankin
Conference Highlights and Key Takeaways: Large Institutions	10:30AM	Jennifer De Vries   David Jonke
Conference Highlights and Key Takeaways: Regulators	10:30AM	Scott Kelly