

Dolores Atallo
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Areas of Expertise

- Governance, Risk and Compliance
- Enterprise and Operational Risk

Industry Expertise

- Financial Services

Education

- Rutgers University
- B.A. and M.B.A Finance

Professional Memberships and Certifications

- Certified Risk Professional (CRP)
- Member of the Global Association of Risk Professionals (GARP), Professional Risk Managers International Association (PRIMIA) and Institute of Internal Auditors (IIA)

Professional Experience

Dolores Atallo focuses on assisting boards and financial institutions assimilate evolving governance practices and compliance requirements to facilitate regulatory readiness and communication with external stakeholders, including rating agencies, analysts and investors. She has over 25 years of financial services and consulting experience

Major Projects

- Engaged by Chief Risk Officer to facilitate strategic discussions focused on risk appetite for retail and commercial business lines and the impact on the bank-wide risk appetite.
- Designed the risk governance oversight structure for a large GSE, including board and executive risk committee charters and reporting that reflected the business model and compliance requirements.
- Enhanced and implemented the ERM/Governance program of a Top 5 bank to reflect the fiduciary risk profile and appetite of selected asset management businesses that also integrated with the bank-wide risk program.
- Customized and expanded a risk assessment program for an alternative investment asset manager to include a risk map, metrics-based reporting and increased transparency for internal and external stakeholders.
- Updated the risk and control self-assessment process for a Top 20 bank to provide an enterprise-wide view the risk profile, risk appetite and reporting.
- Designed an ERM program for an asset manager to enhance marketplace opportunities, respond to regulatory scrutiny and enhance the risk culture.