Duane E. Lee, II

CFP® CWS®, CTFA, CRSP, CSOP, AFIM, AIF, CCTS, CISP

Executive Vice President

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About Duane

Throughout his 30+ years in the Financial Services Industry, Duane has amassed an astonishing body of knowledge. Duane consistently earns the highest marks from his students for his ability to clearly communicate complicated and complex subject matter. His deep experience in the industry enables him to connect with students so they are able to immediately convert the classroom experience to their daily responsibilities. As one of Cannon's most senior instructors, Duane frequently finds himself instructing both the Regulatory Agencies and the Financial Services Firms under their regulation.

Prior to joining Cannon, Duane was employed by M&T Bank in Buffalo, New York as Administrative Vice President. His responsibilities included senior management of Personal Trust, Institutional Custody, Employee Benefits, Corporate Trust, Mutual Fund Administration and Sales, as well as, Operations. Prior to joining M&T Bank, he was employed by the United Bank of Illinois as Senior Vice President and head of the Trust and Investment Division performing Trust services throughout all seven member banks of the Holding Company.

Prior to joining United Bank, he was employed by the Office of the Comptroller of the Currency as a National Trust Examiner.

He has written articles appearing in Trust & Estates Magazine, Bank Administration Magazine and Risk Management Magazine.

Education

B.S. in Finance, Investments and Banking - University of Illinois

A.S. in Real Estate - Triton College

M.B.A. in Securities Analysis and Portfolio Management - DePaul University

Basic and Standard Certificates in Trust Banking, American Institute of Banking, ABA National ABA National Trust School - Northwestern University

Designations, Affiliations & Licenses

- Certified Financial Planner® (CFP©)
- Certified Wealth Strategist (CWS®)
- Accredited Investment Fiduciary Analyst (AIFA)

- Accredited Fiduciary Investment Manager (AFIM)
- Global Fiduciary Strategist (GFS)
- Certified Corporate Trust Specialist (CCTS)
- Certified Trust and Financial Advisor (CTFA)
- Certified Trust Audit & Compliance Professional (CTACP)
- Certified Funds Specialist (CFS)
- Chartered Fiduciary Services Auditor (CFSA)
- Certified Financial Services Auditor (CFSA)
- Certified Retirement Services Professional (CRSP)
- Chartered Retirement Planning Counselor (CRPC)
- Chartered Retirement Plans Specialist (CRPS)
- Certified Securities Operations Professional (CSOP)
- Certified Retirement Plan Specialist (CRPS)
- Certified IRA Services Professional (CISP)
- Certified Fiduciary and Investment Risk Specialist (CFIRS)
- Commissioned National Trust Examiner (NTE)
- Certified Flight Instructor (CFI)
- Certified Retirement Administrator (CRA)
- Certified Retirement Counselor (CRC)